

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT** ▼Example: If typing, type  
over the lines

Chicago Board Options Exchange/PAC

ADDRESS (number and street)

400 S. LaSalle Street

☐Check if different  
than previously  
reported. (ACC)

Chicago

IL

60605

2. **FEC IDENTIFICATION NUMBER** ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C00100693

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE**-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the  
State of(d) 30-Day  
**Post**-Election  
Report for the:☒

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

10

19

2006

through

11

27

2006

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Alan J. Dean

Signature of Treasurer

Electronically Filed by Alan J. Dean

Date

12

07

2006

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 02/2003)

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name  
Chicago Board Options Exchange/PAC

Report Covering the Period:

From:

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| M | M | D | D | Y | Y | Y | Y |
| 1 | 0 | 1 | 9 | 2 | 0 | 0 | 6 |

To:

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| M | M | D | D | Y | Y | Y | Y |
| 1 | 1 | 2 | 7 | 2 | 0 | 0 | 6 |

|  | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand<br>January 1 <span>2006</span>   |                         | 493359.61                         |
| (b) Cash on Hand at<br>Beginning of Reporting Period .....   | 362575.77               |                                   |
| (c) Total Receipts (from Line 19) .....  | 5275.00                 | 174991.16                         |
| (d) Subtotal (add lines 6(b) and<br>6(c) for Column A and Lines<br>6(a) and 6(c) for Column B) .....             | 367850.77               | 668350.77                         |
| 7. Total Disbursements (from Line 31) .....  | -1000.00                | 299500.00                         |
| 8. Cash on Hand at Close of<br>Reporting Period<br>(subtract Line 7 from Line 6(d)) .....                        | 368850.77               | 368850.77                         |
| 9. Debts and Obligations owed <b>TO</b><br>the committee (Itemize all on<br>Schedule C and/or Schedule D) .....  | 0.00                    |                                   |
| 10. Debts and Obligations owed <b>BY</b><br>the committee (Itemize all on<br>Schedule C and/or Schedule D) ..... | 0.00                    |                                   |

☐ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# **DETAILED SUMMARY PAGE OF RECEIPTS**

FEC Form 3X (Rev. 02/2003)

Page 3

Write or Type Committee Name

Chicago Board Options Exchange/PAC

Report Covering the Period:

From:

M M  
1 0D D  
1 9Y Y Y Y  
2 0 0 6

To:

M M  
1 1D D  
2 7Y Y Y Y  
2 0 0 6

| I. Receipts  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From:   |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees  | 5100.00                       | 154400.00                         |
| (i) Itemized (use Schedule A) .....  |                               |                                   |
| (ii) Unitemized .....  | 175.00                        | 4295.00                           |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) ..... ➡   | 5275.00                       | 158695.00                         |
| (b) Political Party Committees .....   | 0.00                          | 0.00                              |
| (c) Other Political Committees (such as PACs) .....  | 0.00                          | 0.00                              |
| (d) Total Contributions (add Lines 11(a)(iii), (b) and (c)) (Carry Totals to Line 33, page 5) ..... ➡  | 5275.00                       | 158695.00                         |
| 12. Transfers From Affiliated/Other Party Committees .....   | 0.00                          | 0.00                              |
| 13. All Loans Received .....   | 0.00                          | 0.00                              |
| 14. Loan Repayments Received .....   | 0.00                          | 0.00                              |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) ..... | 0.00                          | 0.00                              |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....           | 0.00                          | 0.00                              |
| 17. Other Federal Receipts (Dividends, Interest, etc.) .....   | 0.00                          | 16296.16                          |
| 18. Transfers from Non-Federal and Levin Funds   |                               |                                   |
| (a) Non-Federal Account (from Schedule H3) .....   | 0.00                          | 0.00                              |
| (b) Levin Funds (from Schedule H5) .....   | 0.00                          | 0.00                              |
| (c) Total Transfer (add 18(a) and 18(b)).  | 0.00                          | 0.00                              |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....                          | 5275.00                       | 174991.16                         |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....                                    | 5275.00                       | 174991.16                         |

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

| II. DISBURSEMENTS   | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 21. Operating Expenditures:   |                               |                                   |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4)                                      | 0.00                          | 0.00                              |
| (i) Federal Share.....  |                               |                                   |
| (ii) Non-Federal Share.....   | 0.00                          | 0.00                              |
| (b) Other Federal Operating Expenditures.....   | 0.00                          | 22500.00                          |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ➡                         | 0.00                          | 22500.00                          |
| 22. Transfers to Affiliated/Other Party Committees.....   | 0.00                          | 0.00                              |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees.....          | -1000.00                      | 272000.00                         |
| 24. Independent Expenditure (use Schedule E) .....  | 0.00                          | 0.00                              |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F).....  | 0.00                          | 0.00                              |
| 26. Loan Repayments Made.....   | 0.00                          | 0.00                              |
| 27. Loans Made.....   | 0.00                          | 0.00                              |
| 28. Refunds of Contributions To:  |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees .....                                   | 0.00                          | 5000.00                           |
| (b) Political Party Committees  | 0.00                          | 0.00                              |
| (c) Other Political Committees (such as PACs) .....   | 0.00                          | 0.00                              |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....                            | 0.00                          | 5000.00                           |
| 29. Other Disbursements.....  | 0.00                          | 0.00                              |
| 30. Federal Election Activity (2 U.S.C 431(20))   |                               |                                   |
| (a) Shared Federal Election Activity (from Schedule H6)   |                               |                                   |
| (i) Federal Share .....   | 0.00                          | 0.00                              |
| (ii) "Levin" Share .....  | 0.00                          | 0.00                              |
| (b) Federal Election Activity Paid Entirely With Federal Funds .....                            | 0.00                          | 0.00                              |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....               | 0.00                          | 0.00                              |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..        | -1000.00                      | 299500.00                         |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) from Line 30(a)(ii) from Line 31)..... | -1000.00                      | 299500.00                         |

**DETAILED SUMMARY PAGE**

of Disbursements

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Page 5

| III. Net Contributions/Operating Expenditures                                       | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans)<br>from Line 11(d), page 3) .....        | 5275.00                       | 158695.00                         |
| 34. Total Contribution Refunds<br>(from Line 28(d)) .....                           | 0.00                          | 5000.00                           |
| 35. Net Contributions (other than loans)<br>(subtract Line 34 from Line 33) .....   | 5275.00                       | 153695.00                         |
| 36. Total Federal Operating Expenditures<br>(add Line 21(a)(i) and Line 21(b))..... | 0.00                          | 22500.00                          |
| 37. Offsets to Operating Expenditures<br>(from Line 15, page 3) .....               | 0.00                          | 0.00                              |
| 38. Net Operating Expenditures<br>(subtract Line 37 from Line 36) .....             | 0.00                          | 22500.00                          |

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 10

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Chicago Board Options Exchange/PAC

|   |  |  |  |
|---|--|--|--|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Burton Bilfeld<br>Mailing Address 1217 E. Roscoe Street<br>City Chicago State IL Zip Code 60657<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer None Occupation self-employed<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 250.00 |  |  | Date of Receipt<br>M M / D D / Y Y Y Y<br>1 1 / 1 3 / 2 0 0 6<br><b>Transaction ID:</b> 61207.C2541<br>Amount of Each Receipt this Period<br>250.00<br>Receipt |
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Zack D. Cravey<br>Mailing Address PO Box 30732<br>City Sea Island State GA Zip Code 31561-0732<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer A.C. CAPITAL Occupation Broker<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 250.00 |  |  | Date of Receipt<br>M M / D D / Y Y Y Y<br>1 1 / 2 0 / 2 0 0 6<br><b>Transaction ID:</b> 61207.C2552<br>Amount of Each Receipt this Period<br>250.00<br>Receipt |
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Malachi Flanagan<br>Mailing Address 400 S. Lasalle<br>City Chicago State IL Zip Code 60605<br>FEC ID number of contributing federal political committee. <b>C</b><br>Name of Employer Self-Employed Occupation Lessor<br>Receipt For: <input type="checkbox"/> Primary <input type="checkbox"/> General <input type="checkbox"/> Other (specify) ▼<br>Aggregate Year-to-Date ▼ 500.00    |  |  | Date of Receipt<br>M M / D D / Y Y Y Y<br>1 1 / 1 3 / 2 0 0 6<br><b>Transaction ID:</b> 61207.C2542<br>Amount of Each Receipt this Period<br>500.00<br>Receipt |

SUBTOTAL of Receipts This Page (optional) .....

1000.00

TOTAL This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 10

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Chicago Board Options Exchange/PAC

|   |                                    |   |
|---|------------------------------------|---|
| <b>A.</b> Full Name (Last, First, Middle Initial)<br>Eric Frait   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>1 1 / 0 9 / 2 0 0 6 |
| Mailing Address 518 Carriage Hill Rd  |                                    | <b>Transaction ID:</b> 61207.C2547                              |
| City<br>Naperville  | State<br>IL                        | Zip Code<br>60565   |
| FEC ID number of contributing federal political committee.<br>C   |                                    | Amount of Each Receipt this Period<br>400.00                    |
| Name of Employer<br>CBOE  | Occupation<br>None                 | Receipt   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>900.00 |   |

|   |                                    |   |
|---|------------------------------------|---|
| <b>B.</b> Full Name (Last, First, Middle Initial)<br>Stanford Goldman   |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>1 1 / 1 3 / 2 0 0 6 |
| Mailing Address 115 Red Barn Lane   |                                    | <b>Transaction ID:</b> 61207.C2544                              |
| City<br>Winnetka  | State<br>IL                        | Zip Code<br>60093   |
| FEC ID number of contributing federal political committee.<br>C   |                                    | Amount of Each Receipt this Period<br>200.00                    |
| Name of Employer<br>B & G OptionsBrokers Inc  | Occupation<br>Trader               | Receipt   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>700.00 |   |

|   |                                    |   |
|---|------------------------------------|---|
| <b>C.</b> Full Name (Last, First, Middle Initial)<br>Dennis Holvay  |                                    | Date of Receipt<br>M M / D D / Y Y Y Y Y<br>1 1 / 1 3 / 2 0 0 6 |
| Mailing Address 5233 Ernst Court  |                                    | <b>Transaction ID:</b> 61207.C2546                              |
| City<br>Western Springs   | State<br>IL                        | Zip Code<br>60558   |
| FEC ID number of contributing federal political committee.<br>C   |                                    | Amount of Each Receipt this Period<br>200.00                    |
| Name of Employer<br>None  | Occupation<br>Retired              | Receipt   |
| Receipt For:<br><input type="checkbox"/> Primary <input type="checkbox"/> General<br><input type="checkbox"/> Other (specify) ▼ | Aggregate Year-to-Date ▼<br>200.00 |   |

**SUBTOTAL** of Receipts This Page (optional) .....

800.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 10

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Chicago Board Options Exchange/PAC

**A.** Full Name (Last, First, Middle Initial)

Robert Kalmin

Mailing Address 7945 Foster

City State Zip Code  
Morton Grove IL 60053

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Self-Employed

Occupation  
Trader

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

400.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 3 / 2 0 0 6

Transaction ID: 61207.C2545

Amount of Each Receipt this Period

400.00

Receipt

**B.** Full Name (Last, First, Middle Initial)

James M. Kelly

Mailing Address 54 Lakeview Place NE

City State Zip Code  
Iowa City IA 52240

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
None

Occupation  
Retired

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 6

Transaction ID: 61207.C2551

Amount of Each Receipt this Period

250.00

Receipt

**C.** Full Name (Last, First, Middle Initial)

Robert Merrilees

Mailing Address 1126 Ashland Ave.

City State Zip Code  
Wilmette IL 60091

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Spot Trading

Occupation  
OWNER

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 1 3 / 2 0 0 6

Transaction ID: 61207.C2540

Amount of Each Receipt this Period

2500.00

Receipt

**SUBTOTAL** of Receipts This Page (optional) .....

3150.00

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
or each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 10

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Chicago Board Options Exchange/PAC

**A.**

Full Name (Last, First, Middle Initial)

JOHN J. ROCHE

Mailing Address 3141 WALDEN LANE

City

Wilmette

State

IL

Zip Code

60091

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Self-Employed

Occupation

Lessor

Receipt For:

☐ Primary  
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

200.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 0 / 2 0 0 6

Transaction ID: 61207.C2549

Amount of Each Receipt this Period

150.00

Receipt

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

5100.00

# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 10 / 10

|                              |                              |  |                              |                             |                              |
|------------------------------|------------------------------|--|------------------------------|-----------------------------|------------------------------|
| <input type="checkbox"/> 21b | <input type="checkbox"/> 22  | <input checked="" type="checkbox"/> 23 | <input type="checkbox"/> 24  | <input type="checkbox"/> 25 | <input type="checkbox"/> 26  |
| <input type="checkbox"/> 27  | <input type="checkbox"/> 28a | <input type="checkbox"/> 28b           | <input type="checkbox"/> 28c | <input type="checkbox"/> 29 | <input type="checkbox"/> 30b |

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NAME OF COMMITTEE (In Full)

Chicago Board Options Exchange/PAC

Full Name (Last, First, Middle Initial)

A. Bob Ethndge

Mailing Address PO Box 28001

City  
Raleigh

State  
NC

Zip Code  
27611-

Purpose of Disbursement  
VOID CHECK-REPORTED 4/06

Candidate Name

Category/  
Type

Office Sought: ☐ House  
☐ Senate  
☐ President

State:

District:

Disbursement For:

☐ Primary ☐ General  
☒ Other (specify) ▼

Primary

Transaction ID: 61207.E1348

Date of Disbursement

/   /

Amount of Each Disbursement this Period

-1000.00

VOID CHECK-REPORTED 4/06

SUBTOTAL of Disbursements This Page (optional) .....

-1000.00

TOTAL This Period (last page this line number only) .....

-1000.00